



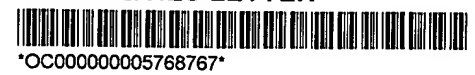
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/752,263	12/29/2000	David S. Bormann	42390.P9734

CONFIRMATION NO. 1227

FORMALITIES LETTER



John P. Ward
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026



Date Mailed: 02/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/14/2001 MYUSUF1 00000093 09752263
01 FC:105 130.00 00



Attorney's Docket No.: 42390P9734

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David S. Bormann, et al.

Application No.: 09/752,263

Filed: December 28, 2000

For: METHOD AND APPARATUS
FACILITATING DIRECT ACCESS TO
A SERIAL ATA DEVICE BY AN
AUTONOMOUS SUBSYSTEM

) Examiner: Not yet assigned

) Art Group: 2152

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date
Granted) mailed February 15, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the
above-referenced patent application;

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal
Service as first class mail with sufficient postage in an envelope addressed to Box Missing
Parts, Commissioner for Patents, Washington, D.C. 20231

on March 7, 2001

Date of Deposit

Dianne Neathery

Name of Person Mailing Correspondence

Dianne Neathery
Signature

3-7-01
Date

(2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);

(3) a copy of the Notice to File Missing Parts of Application;

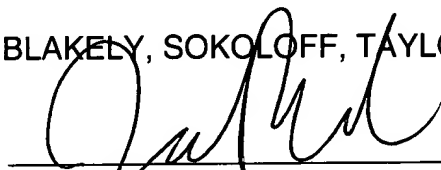
If any additional fee is required, please charge Deposit Account No. 02-2666.

A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 7, 2001



John P. Ward
Reg. No. 40,216

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

Sector
\$

Patent



Attorney's Docket No.: 42390P9734

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David S. Bormann, et al.

Application No.: 09/752,263

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Dianne Neathery
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3-7-01

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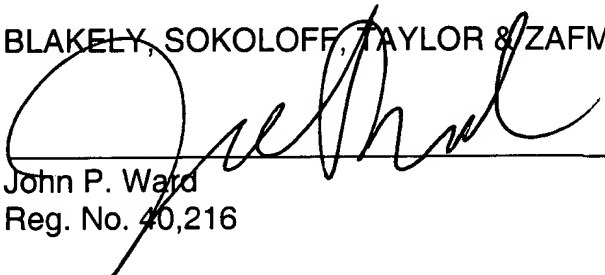
If any additional fee is required, please charge Deposit Account No. 02-2666.

A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 7, 2001


John P. Ward
Reg. No. 40,216

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

Atty. Docket No. 42390P9734

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David Bormann, et al.

Application No.: 09/752,263

Filed: December 28, 2000

For: METHOD AND APPARATUS
FACILITATING DIRECT ACCESS TO
A SERIAL ATA DEVICE BY AN
AUTONOMOUS SUBSYSTEM

Examiner: Not yet assigned

Art Unit: Not yet assigned

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JUN 19 2001

Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTION(S) OF FILING RECEIPT

Dear Sir:

In the Filing Receipt (mailed 2/15/01) for the above-referenced patent application, one inventor's name was recorded incorrectly. The name indicated was as follows:

Edward J. Pole II

The correct inventor's name is as follows:

Edwin J. Pole II

In the same Filing Receipt the filing date is incorrect and reflects:

12/29/00

The correct date is:

12/28/00

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on: March 7, 2001

Dianne Neathery

Name of Person Mailing Correspondence

Dianne Neathery

Signature of Person Mailing Correspondence

3-7-01

Signature Date

In the title in the Filing Receipt incorrectly reads:

**“Method and apparatus facilitating direct access to a serial
a ATA device an autonomous subsystem.”**



The correct title is:

**“Method and Apparatus Facilitating Direct Access to a Serial
ATA Device by an Autonomous Subsystem.”**

Please delete the “a” before “ATA” and add the word “by” after “Device”.

Please have the Filing Receipt changed to reflect the **correct name, correct title** and the **correct date** of filing.

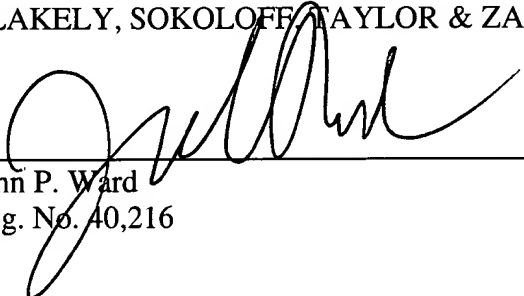
Enclosed are: a photocopy of the postcard indicating the date mailed, the Express Mail label with the official date stamp by the Post Office; a copy of the Title page of the application, showing the correct title and also the signed certificate of mailing; and a copy of the Filing Receipt.

Applicant believes no fee is due. However, if there is a fee due, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF TAYLOR & ZAFMAN LLP

Dated: March 7, 2001



John P. Ward
Reg. No. 40,216

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408) 720-8300

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JUN 19 2001

Technology Center 2100

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JAN 22 2001

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JK

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JAN 17 2001

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES



RECEIVED

JUN 19 2001

Technology Center 2100

Serial/Patent No.: * * Filing/Issue Date: Herewith
Client: Intel Corporation
Title: Method and Apparatus Facilitating Direct Access To A Serial
ATA Device By An Autonomous Subsystem
BSTZ File No.: 42390.P9734 Atty/Secty Initials: EHT/JPW/td
Date Mailed: 12-28-2000 Docket Due Date: * *

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | | |
|--|--|---|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input checked="" type="checkbox"/> Express Mail No.: EL617210217US | <input checked="" type="checkbox"/> Check No. 39943 |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input type="checkbox"/> _____ Month(s) Extension of Time | Amt: \$790.00 |
| <input checked="" type="checkbox"/> Application - Utility (17 pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO 449 (____ pgs.) | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal | Amt: _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) | <input type="checkbox"/> Notice of Appeal | |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input type="checkbox"/> Petition for Extension of Time | |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) | <input type="checkbox"/> Petition for _____ | |
| <input type="checkbox"/> Application - Design (____ pgs.) | <input checked="" type="checkbox"/> Postcard | |
| <input type="checkbox"/> Application - PCT (____ pgs.) | <input type="checkbox"/> Power of Attorney (____ pgs.) | |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input type="checkbox"/> Preliminary Amendment (____ pgs.) | |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Reply Brief (____ pgs.) | |
| <input checked="" type="checkbox"/> Certificate of Mailing (Express Mail) | <input type="checkbox"/> Response to Notice of Missing Parts | |
| <input checked="" type="checkbox"/> Declaration & POA (5 pgs.) (Unexecuted) | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business | |
| <input type="checkbox"/> Declaration & Copy of Invention Signed Letter (____ pgs.) | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate (3 pages) | |
| <input checked="" type="checkbox"/> Drawings (27 sheets includes 7 figures) | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate | |

☐ Other: _____

3/29/2001

Client Name Intel Corporation

Initial *na*
p. Initial *na* 42390.P9734
al JPW
leg 752,263

Description

deadline to file prior art disclosure and check related cases
in filed US application).

Natalie Adair

Due date 6/29/2002

Client Name Intel Corporation

Docket Initial *na*
Docket Sup. Initial *na* 42390.P9734
Atty/Initial EHT JPW
Pat/Ser/Reg 752,263

Description

Watch for first office action

TA 19/2001
US DB-LA

Natalie Adair

UNITED STATES PATENT APPLICATION
FOR



METHOD AND APPARATUS FACILITATING DIRECT ACCESS TO A SERIAL
ATA DEVICE BY AN AUTONOMOUS SUBSYSTEM

INVENTORS:
David Bormann
Chao-Hsin Chi
Frank P. Hart
Edward J. Pole II
Dong Tieu

Prepared by:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 WILSHIRE BOULEVARD
SEVENTH FLOOR
LOS ANGELES, CALIFORNIA 90025
(408) 720-8300

Attorney's Docket No. 42390.P9734

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number EL 617 210 217 US

Date of Deposit December 28, 2000

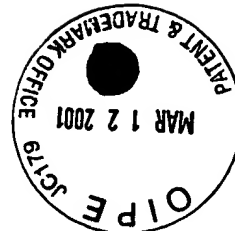
I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

Tina Domingo
(Typed or printed name of person mailing paper or fee)

Tina Domingo 12-28-2000
(Signature of person mailing paper or fee) Date



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/752,263	12/29/2000	2152	790	42390.P9734	7	15	4

ZHT

CONFIRMATION NO. 1227

FILING RECEIPT

John P. Ward
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026

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FEB 20 2001



BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Date Mailed: 02/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David S. Bormann, Sunnyvale, CA;
Chao-Hsin Chi, San Jose, CA;
Frank P. Hart, Apex, NC;
Edward J. Pole II, Residence Not Provided;
Dong Tieu, Residence Not Provided;

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FEB 22 2001

Continuing Data as Claimed by Applicant

S. O. F. DATABASE DEPT.

JL

Foreign Applications

If Required, Foreign Filing License Granted 02/15/2001

ENTERED
2/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

ENTERED

Title

FEB 20 2001

STATUS DB-LA

Method and apparatus facilitating direct access to a serial ATA device an autonomous subsystem

Preliminary Class

709

...device BY an

Data entry by : STEPHANOS, FEKERTE

Team : OIPE

Date: 02/15/2001

[REDACTED]

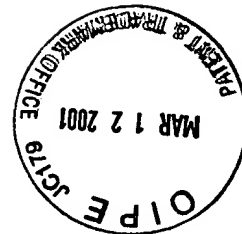


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JUN 19 2001

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LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15



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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

FILE COPY

CONFIRMATION NO. 1227



Bib Data Sheet

SERIAL NUMBER 09/752,263	FILING DATE 12/28/2000 RULE	CLASS 709	GROUP ART UNIT 2152	ATTORNEY DOCKET NO. 42390.P9734
------------------------------------	---	---------------------	-------------------------------	---

APPLICANTS

David S. Bormann, Sunnyvale, CA;
Chao-Hsin Chi, San Jose, CA;
Frank P. Hart, Apex, NC;
Edwin J. Pole II, Hillsboro, OR;
Dong Tieu, Union City, CA;

** CONTINUING DATA *****

None DS

** FOREIGN APPLICATIONS *****

alone DS

IF REQUIRED, FOREIGN FILING LICENSE
GRANTED ** 02/15/2001

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 7	TOTAL CLAIMS 15	INDEPENDENT CLAIMS 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <i>[Signature]</i> Initials <i>DS</i>				

ADDRESS

John P. Ward
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
7th Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025

TITLE

Method and apparatus facilitating direct access to a serial ATA device by an autonomous subsystem

FILING FEE RECEIVED 920	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit